

# ProSpeCT: Provably Secure Speculation for the Constant-Time Policy

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SPLiTS Security Workshop



Lesly-Ann Daniel  
KU Leuven

Marton Bognar  
KU Leuven

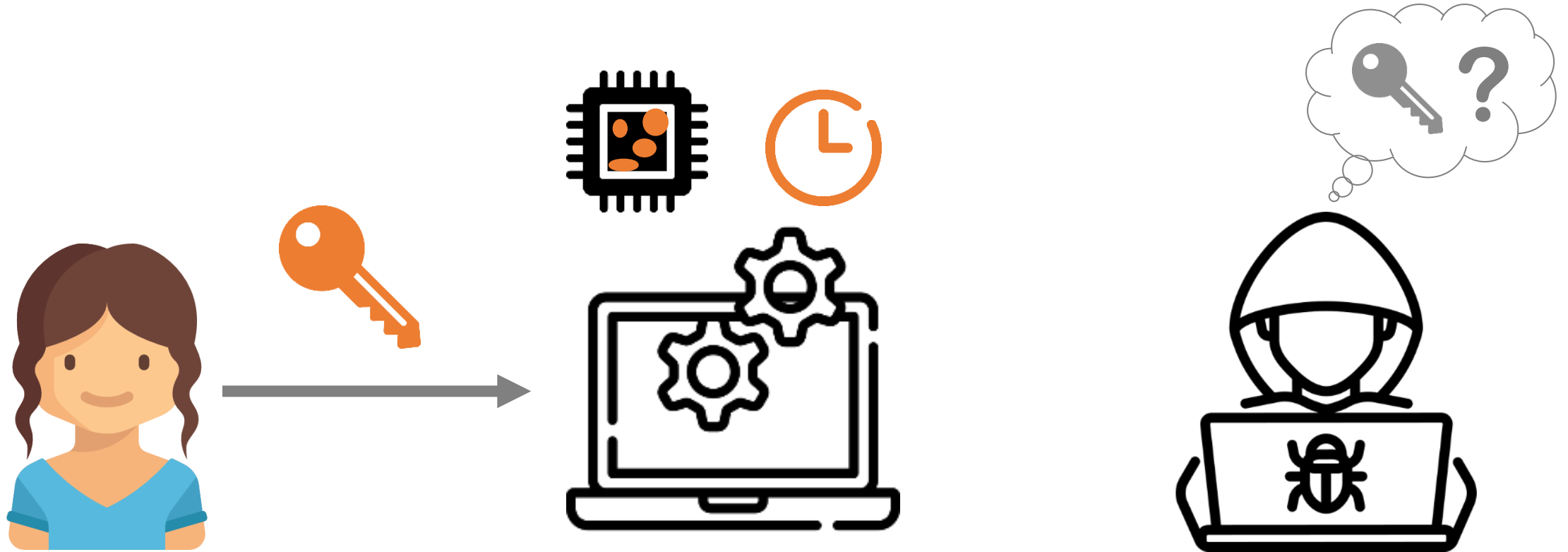
Job Noorman  
KU Leuven

Sébastien Bardin  
CEA List

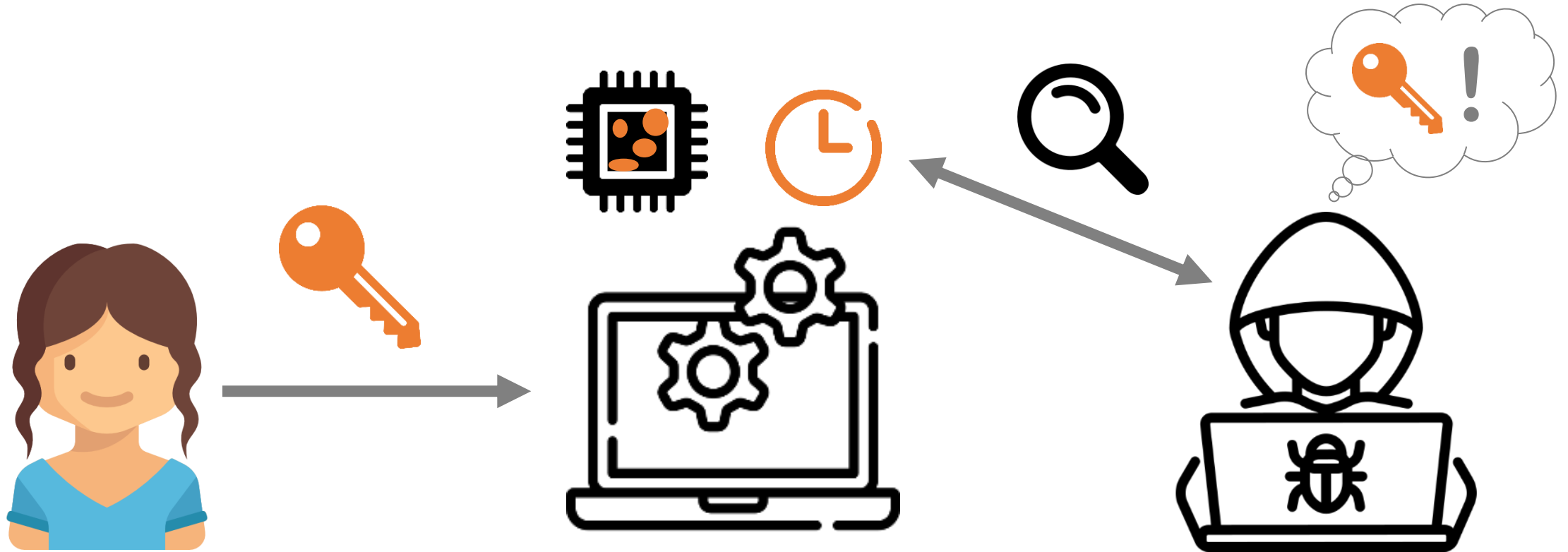
Tamara Rezk  
INRIA

Frank Piessens  
KU Leuven

# Need to protect against microarchitectural attacks

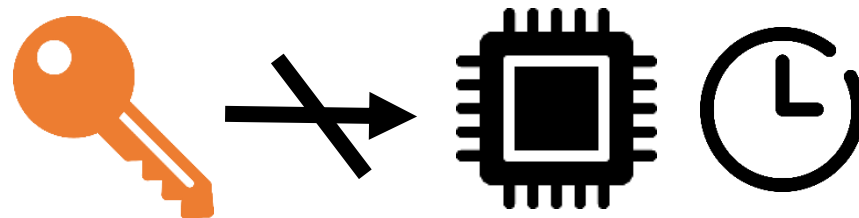


# Need to protect against microarchitectural attacks



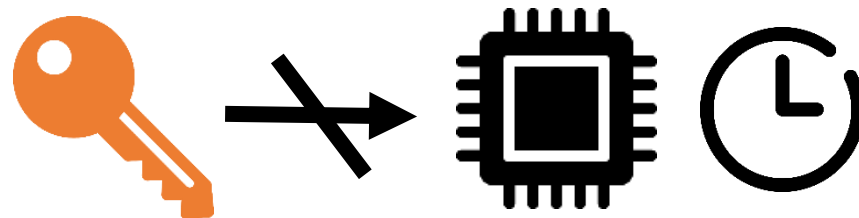
# Easy: constant-time programming!

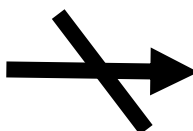
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1: char array[len]
   char mysecret
2:   if (idx < len)
3:     x = array[idx]
   leak(x)
```



# Easy: constant-time programming!

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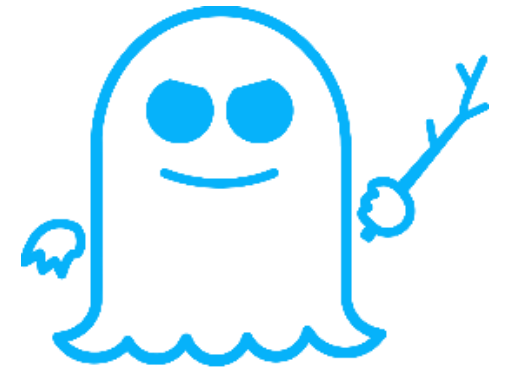
`mysecret`  `leak()`

*De facto standard for crypto*

# ... still vulnerable to Spectre attacks

```
1: char array[len]
   char mysecret
2: if (idx < len)
3:     x = array[idx]
   leak(x)
```

Predict branch taken



# ... still vulnerable to Spectre attacks

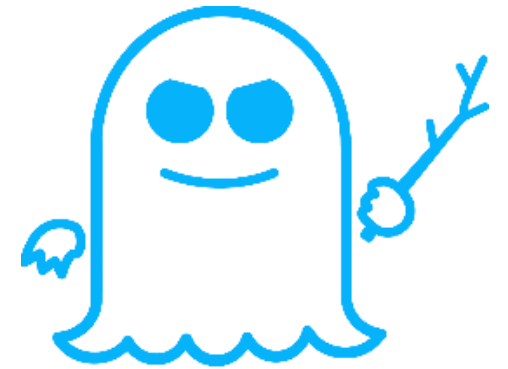
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Predict branch taken

x = mysecret

**Leaks** mysecret to microarchitecture!



# How can I protect my code?

## Constant-Time Foundations for the New Spectre Era

Sunjay Cauligi<sup>†</sup> Craig Disselkoen<sup>†</sup> Klaus v. Gleissenthall<sup>†</sup>  
Dean Tullsen<sup>†</sup> Deian Stefan<sup>†</sup> Tamara Rezk<sup>\*</sup> Gilles Barthe<sup>\*\*</sup>

<sup>†</sup>UC San Diego, USA      <sup>\*</sup>INRIA Sophia Antipolis, France

<sup>▲</sup>MPI for Security and Privacy, Germany      <sup>▲</sup>IMDEA Software Institute, Spain

### Speculative constant-time

- Hard to reason about
- New speculation mechanisms?





# We need Secure Speculation for Constant-Time!



Developers should not care about speculations



**Hardware** shall not speculatively leak secrets



But still be efficient and enable **speculation**



**Hardware defense:**

Secure speculation for constant-time!

# Hardware Secrecy Tracking



## Software side

- Label secrets
- Constant-time program

## Hardware side

- Track security labels
- Secrets do not speculatively flow to insecure instructions



### ConTEXT: A Generic Approach for Mitigating Spectre

Michael Schwarz<sup>1</sup>, Moritz Lipp<sup>1</sup>, Claudio Canella<sup>1</sup>, Robert Schilling<sup>1,2</sup>, Florian Kargl<sup>1</sup>, Daniel Gruss<sup>1</sup>  
<sup>1</sup>Graz University of Technology <sup>2</sup>Knight Center for Cyber Security

### SpectreGuard: An Efficient Data-centric Defense Mechanism against Spectre Attacks

Jacob Fustos

Farzad Farshchi  
University of Kansas

Heechul Yun  
University of Kansas

### Speculative Privacy Tracking (SPT): Leaking Information From Speculative Execution Without Compromising Privacy

Rutvik Choudhary  
UIUC, USA

Christopher W. Fletcher  
UIUC, USA

Jiyong Yu  
UIUC, USA

Adam Morrison  
Tel Aviv University, Israel

# Illustration with Spectre-v1

```
char array[len]
char mysecret
1: if (idx < len)
2:     x = array[idx]
3:     leak(x)
```

Consider `idx = len`

# Illustration with Spectre-v1

```
char array[len]
secret char mysecret
1: if (idx < len)
2:     x = array[idx]
3:     leak(x)
```

Developer marks secrets



Consider `idx = len`

# Illustration with Spectre-v1

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Speculative execution

Consider `idx = len`

# Illustration with Spectre-v1

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Developer marks secrets

Speculative execution

x = mysecret : **secret**

Consider `idx = len`

# Illustration with Spectre-v1

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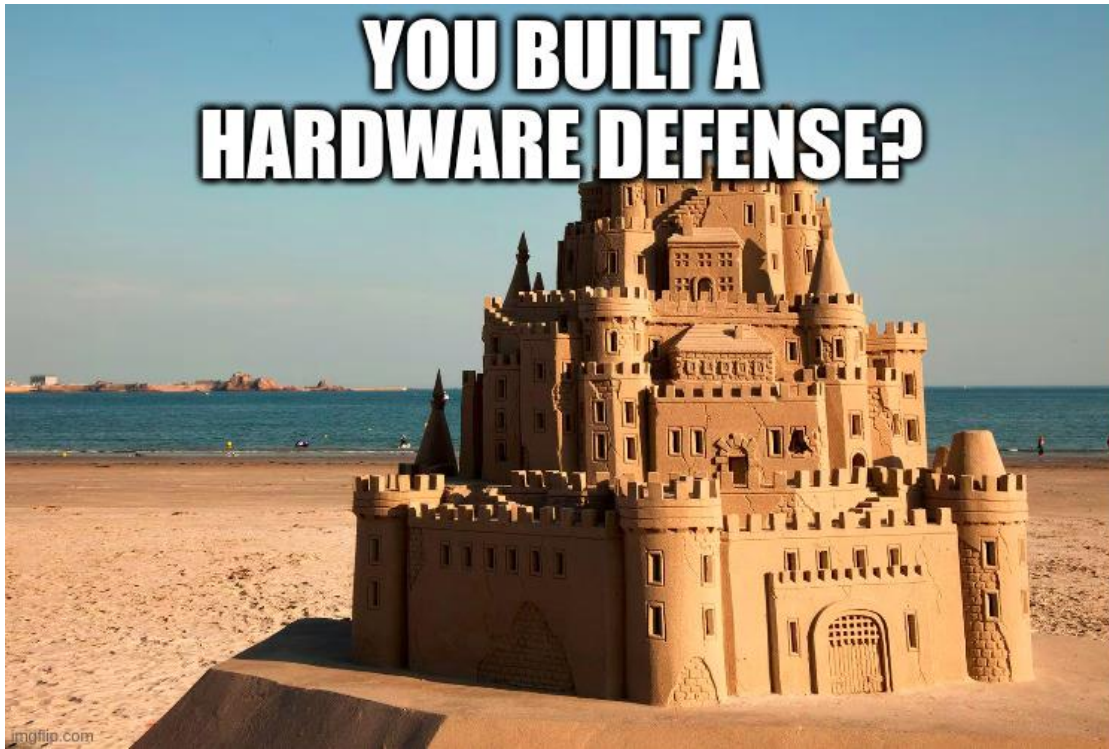
Developer marks secrets

Speculative execution

`x = mysecret` : **secret**

Speculative execution + **secret**  
=  
`x` *not* forwarded to `leak`

# How do I know that my defense works?





# How do I know that my defense works?

YOU BUILT A  
HARDWARE DEFENSE?

## Hardware-Software Contracts for Secure Speculation

Marco Guarnieri\*, Boris Köpf†, Jan Reineke‡, and Pepe Vila\*

\*IMDEA Software Institute    †Microsoft Research    ‡Saarland University

THAT'S CUTE...

# Challenges

Adapt **HW/SW contract** framework to account for

- **All existing** speculation mechanisms (Spectre, LVI)
- **Futuristic** speculation mechanisms (value prediction)
- **Declassification**

# Our contributions

- **ProSpeCT: Formal processor model** with HST
  - **Proof**: constant-time programs do not leak secrets
  - Allows for **declassification**
  - **Generic**: all Spectre variants / LVI
- First to consider **(Load) Value Speculation**
  - **Novel insight**: sometimes need to rollback *correct* speculations for security
- **Implementation** in a RISC-V microarchitecture
  - **First synthesizable** implementation
  - **Evaluation**: hardware cost, performance, annotations



# ProSpeCT: Generic formal processor model for HST

Semantics of **out-of-order speculative** processor with HST

- Abstract microarchitectural context
- Functions *update*, *predict*, *next*

Attacker observations/influence

**All** public values are leaked / influence predictions

Generic/Powerful  
predictors

**Declassify** = write secrets to public memory

- Beware unintentional declassification

# ProSpeCT: Generic formal processor model for HST

Semantics of **out-of-order speculative** processor with HST

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Security proof

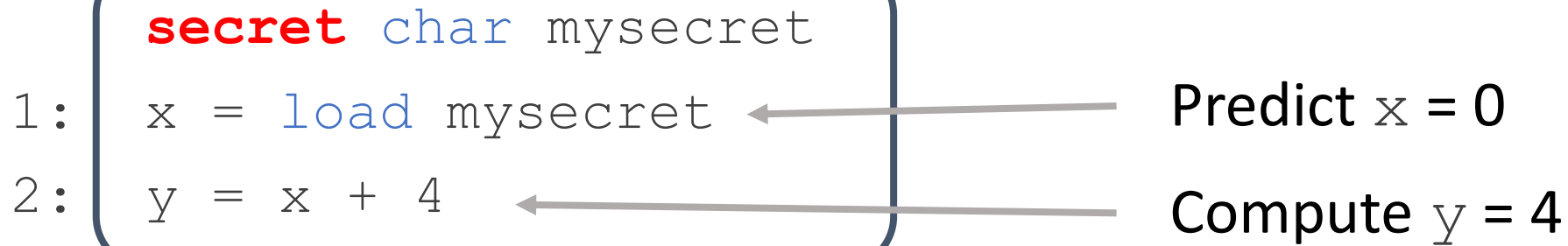


Constant-time programs (ISA semantics)  
do not leak secrets (micro-arch. semantics)

# Load Prediction: Rollback correct executions?

```
secret char mysecret  
1: x = load mysecret  
2: y = x + 4
```

# Load Prediction: Rollback correct executions?



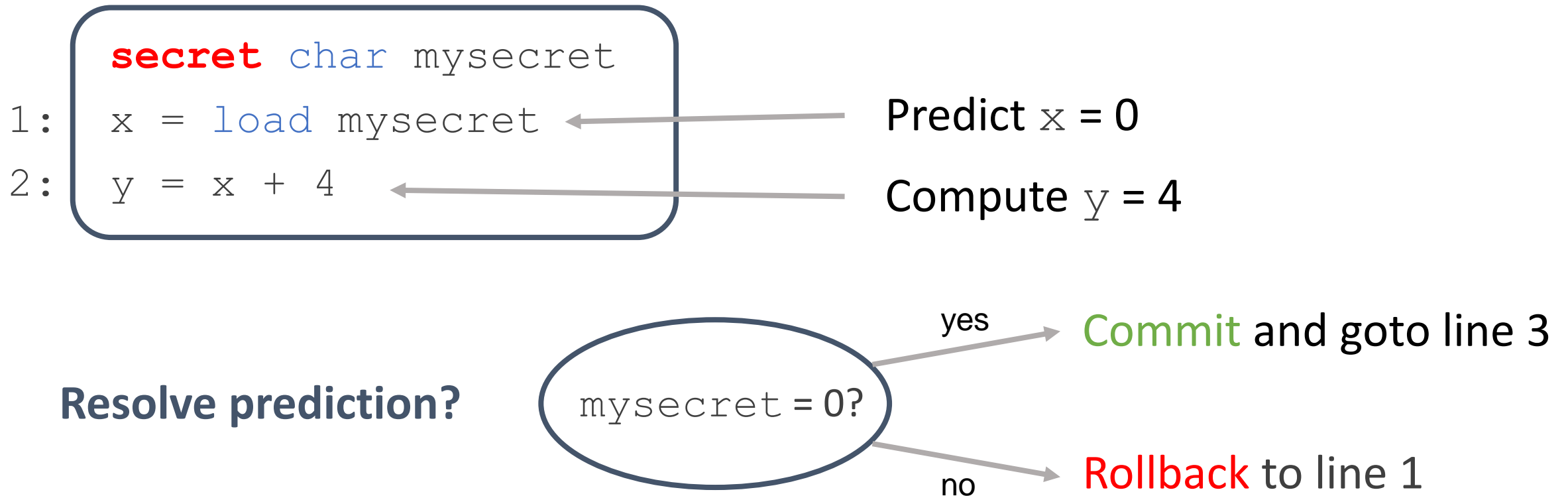
The diagram illustrates the relationship between code execution and prediction. On the left, a rounded rectangle contains two lines of code. The first line is `secret char mysecret`, where `secret` is red and `char` is blue. The second line is `x = load mysecret`, where `load` is blue. Below these, two numbered lines are shown: `1: x = load mysecret` and `2: y = x + 4`. Arrows point from the `load` keyword in line 1 to the text `Predict x = 0` on the right, and from the `y = x + 4` line to the text `Compute y = 4` on the right.

```
secret char mysecret
```

1: `x = load mysecret` ← Predict `x = 0`

2: `y = x + 4` ← Compute `y = 4`

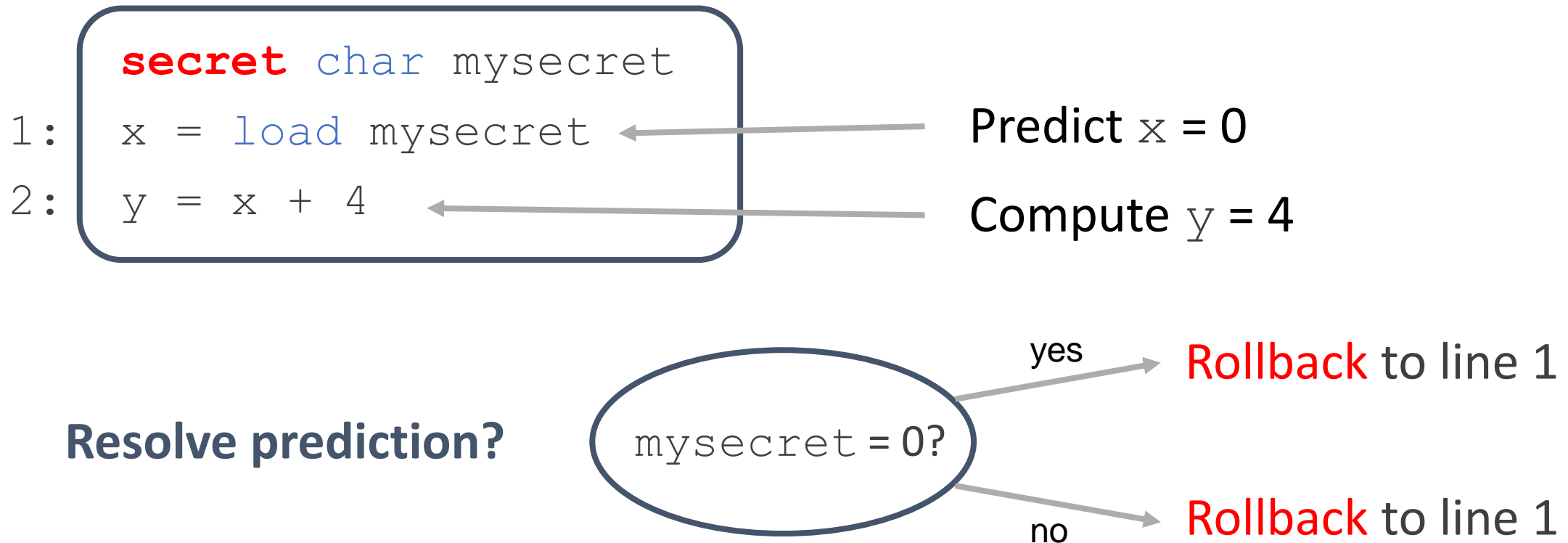
# Load Prediction: Rollback correct executions?



*Implicit resolution-based channel!*



# Load Prediction: Rollback correct executions?



*Solution: always rollback when value is secret*

# Implementation

## Prototype RISC-V implementation

On top of [Proteus](#) modular RISC-V processor

- Branch target prediction
- Conservative approach
- 2 secret regions defined by CSRs



### Limited Hardware Cost

- LUTs: +17%
- Registers: +6%
- Critical path: +2%

# Evaluation

## 4 primitives (HACL\*)

- Annotate secret
- Ensure no secrets spilled
- Stack public in 3/4 cases
- $\leq 1h$  / primitive

## Performance overhead (benchmark from [1])

Speculation/Crypto	25/75	50/50	75/25	90/10
Precise (Key)	0%	0%	0%	0%
Conservative (All)	10%	25%	36%	45%

**No overhead** in software for constant-time code  
when secrets are precisely annotated



[1] Jacob Fustos, Farzad Farshchi, and Heechul Yun. "SpectreGuard: An Efficient Data-Centric Defense Mechanism against Spectre Attacks". In: DAC. 2019

# Conclusion



Software informs hardware about secrets



Strong **security** guarantees

*End-to-end security for constant-time programs*



Low overhead

*No software overhead for constant-time code*



[github.com/proteus-core/prospect](https://github.com/proteus-core/prospect)

Icons made by **Freepik**, **Vectors Market**, **monkik** from [www.flaticon.com](http://www.flaticon.com)

# A step back



RISC-V open standard ISA

→ HW-SW co-design for security



- Proteus: extensible RISC-V processor

- Security extensions

- ProSpeCT
- ISA extension for CF balancing/linearization
- CHERI
- ...

# Future work

How to **ease adoption** of HW-SW co-designs?

→ Need infrastructure around HW-SW contracts

- **Secure compilation**/compiler support (LLVM, Jasmin?)
- **Binary analysis** (Binsec/angr)
- **Validate HW** implementation (fuzzing, verification)

⇒ Márton Bognár



Other relevant projects at  **DistriNet**

- Attacks/Defenses for TEEs ⇒ Jo Van Bulck
- Formalization/verification of ISA security guarantees ⇒ Dominique Devriese