

# ProSpeCT: Provably Secure Speculation for the Constant-Time Policy

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# I want to protect my secrets...

```
char array[len]
char mysecret
if (idx < len)
    x = array[idx]
    leak(x)
```



**Easy: Constant-Time Programming!**

`mysecret` ~~→~~ `leak()`

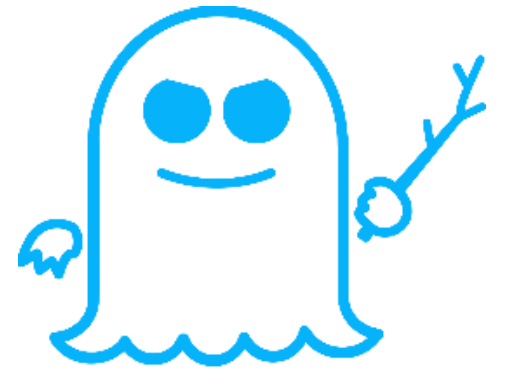
*De facto standard for crypto*

# ... even against Spectre attacks

```
char array[len]
char mysecret
if (idx < len)
    x = array[idx]
    leak(x)
```



Predict branch taken



# ... even against Spectre attacks

```
char array[len]
```

```
char mysecret
```

```
if (idx < len)
```

```
    x = array[idx]
```

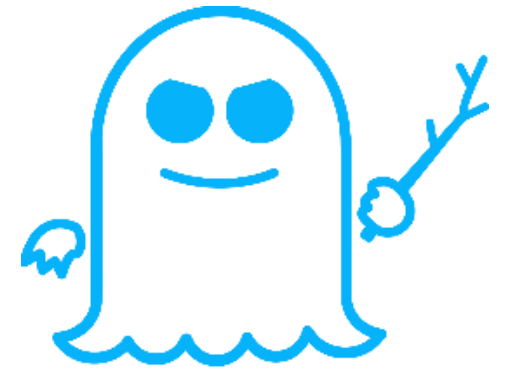
```
    leak(x)
```



Predict branch taken

x = mysecret

**Leaks** mysecret to microarchitecture!



# How can I protect my code?

## Constant-Time Foundations for the New Spectre Era

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### Speculative constant-time

- Hard to reason about
- New speculation mechanisms?



# We need Secure Speculation for Constant-Time!



Developers should not care about speculations



**Hardware** shall not speculatively leak secrets



But still be efficient and enables **speculation**



**Hardware defense:**

Secure speculation for constant-time!

# Hardware Secrecy Tracking



## Software side

- Label secrets
- Constant-time program

## Hardware side

- Track security labels
- Secrets do not speculatively flow to insecure instructions



ConTEXT: A Generic Approach for Mitigating Spectre

Michael Schwarz<sup>1</sup>, Moritz Lipp<sup>1</sup>, Claudio Canella<sup>1</sup>, Robert Schilling<sup>1,2</sup>, Florian Kargl<sup>1</sup>, Daniel Gruss<sup>1</sup>  
<sup>1</sup>Graz University of Technology <sup>2</sup>Knox Center for Cyber Security

SpectreGuard: An Efficient Data-centric Defense Mechanism against Spectre Attacks

Jacob Fustos

Farzad Farshchi  
University of Kansas

Heechul Yun  
University of Kansas

Speculative Privacy Tracking (SPT): Leaking Information From Speculative Execution Without Compromising Privacy

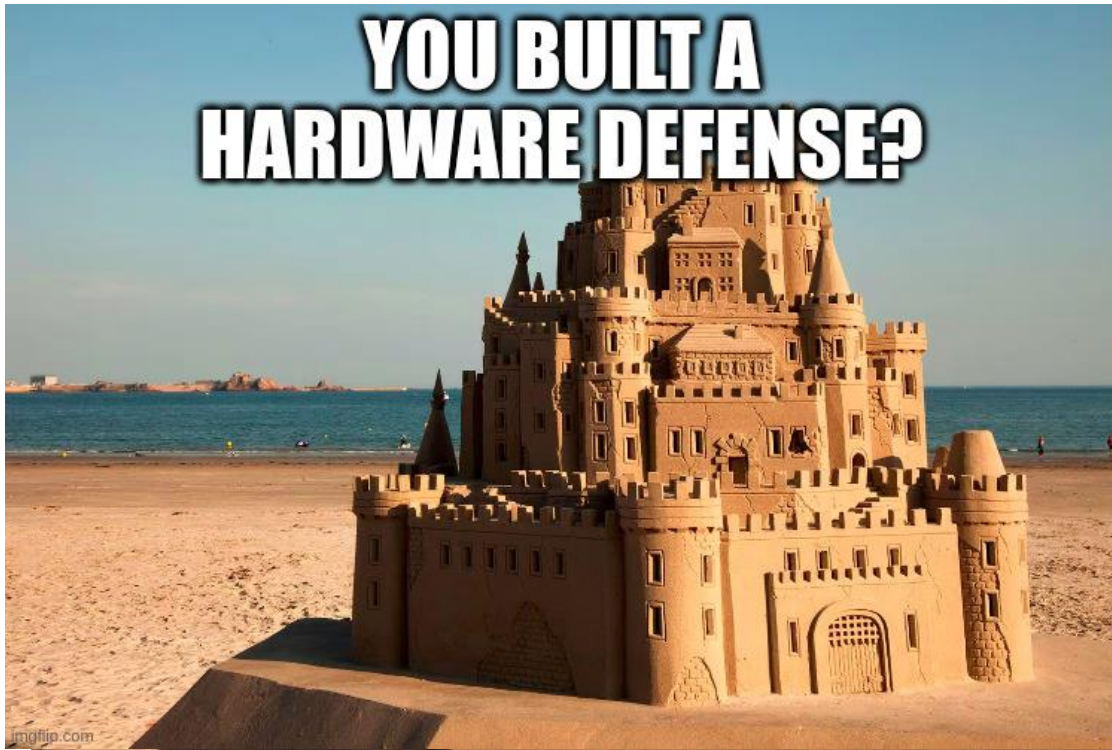
Rutvik Choudhary  
UIUC, USA

Jiyong Yu  
UIUC, USA

Christopher W. Fletcher  
UIUC, USA

Adam Morrison  
Tel Aviv University, Israel

# How do I know that my defense works?



# How do I know that my defense works?

**YOU BUILT A  
HARDWARE DEFENSE?**

## **Goal**

Show that HST provides secure speculation  
for constant-time programs

**THAT'S CUTE...**

# How do I know that my defense works?

**YOU BUILT A  
HARDWARE DEFENSE?**

**How?**

**Hardware-Software Contracts for  
Secure Speculation**

Marco Guarnieri\*, Boris Köpf†, Jan Reineke‡, and Pepe Vila\*

\**IMDEA Software Institute* †*Microsoft Research* ‡*Saarland University*

**THAT'S CUTE...**

# Challenges

- Adapt **HW/SW contract** framework to account for:
  - **All existing** speculation mechanisms (Spectre, LVI)
  - **Futuristic** speculation mechanisms (value prediction)
  - **Declassification**
- Evaluation: **hardware costs?**

# Our contributions

- **ProSpeCT: Formal processor model** with HST
  - **Proof:** constant-time programs do not leak secrets
  - Allows for **declassification**
  - **Generic:** all Spectre variants / LVI
- [In paper] First to consider **(Load) Value Speculation**
  - **Novel insight:** sometimes need to rollback *correct* speculations for security
- **Implementation** in a RISC-V microarchitecture
  - **First synthesizable** implementation
  - **Evaluation:** hardware cost, performance, annotations



# Illustration with Spectre-v1

```
char array[len]
char mysecret
if (idx < len)
    x = array[idx]
    leak(x)
```

Consider `idx = len`

# Illustration with Spectre-v1

```
char array[len]
secret char mysecret
if (idx < len)
    x = array[idx]
    leak(x)
```

Developer marks secrets



Consider `idx = len`

# Illustration with Spectre-v1

```
char array[len]
secret char mysecret
if (idx < len)
    x = array[idx]
    leak(x)
```

Developer marks secrets

Speculative execution

Consider `idx = len`

# Illustration with Spectre-v1

```
char array[len]
secret char mysecret
if (idx < len)
    x = array[idx]
    leak(x)
```

Developer marks secrets

Speculative execution

x = mysecret : **secret**

Consider `idx = len`

# Illustration with Spectre-v1

```
char array[len]
secret char mysecret
if (idx < len)
    x = array[idx]
    leak(x)
```

Consider `idx = len`

Developer marks secrets

Speculative execution

`x = mysecret` : **secret**

Speculative execution + **secret**

=

`x` *not* forwarded to `leak`

# ProSpeCT: Generic formal processor model for HST

Semantics of **out-of-order speculative** processor with HST

**All** public values are leaked & predictions can depend on **any** public value

**Declassify** = write secrets to public memory



## **Security proof**

Constant-time programs do not leak secrets

# Implementation

## Prototype RISC-V implementation

On top of **Proteus** modular RISC-V processor

- Branch target prediction
- Conservative approach
- Open source

<https://github.com/proteus-core/prospect>



### Limited Hardware Cost

- LUTs: +17%
- Registers: +6%
- Critical path: +2%

# Evaluation

## 4 primitives (HACL\*)

- Annotate secret
- Ensure no secrets spilled
- Stack public in 3/4 cases
- $\leq 1h$  / primitive

## Performance overhead (benchmark from [1])

Speculation/Crypto	25/75	50/50	75/25	90/10
Precise (Key)	0%	0%	0%	0%
Conservative (All)	10%	25%	36%	45%

No overhead in software for constant-time code  
when secrets are precisely annotated



[1] Jacob Fustos, Farzad Farshchi, and Heechul Yun. "SpectreGuard: An Efficient Data-Centric Defense Mechanism against Spectre Attacks". In: DAC. 2019

# Conclusion



Software informs hardware about secrets



Strong **security** guarantees

*End-to-end security for constant-time programs*



Low overhead

*No software overhead for constant-time code*



[github.com/proteus-core/prospect](https://github.com/proteus-core/prospect)

Icons made by [Freepik](#), [Vectors Market](#), [monkik](#) from [www.flaticon.com](http://www.flaticon.com)

Backup

# Illustration with LVI

## LVI. Inject values at faulting loads

```
char A[16] // public memory
char secret // secret memory
x = load idx ←
y = load A[x] ←
leak(y) ←
```

## No defense

Attacker **injects**  $x = 16$

$y = \text{secret}$

secret is transiently **leaked!**

*Akin to Load Value Prediction*



# Illustration with LVI

```
char A[16] // public memory
char secret // secret memory
x = load idx
y = load A[x]
leak(y)
```

## ProSpeCT

Developer annotates secret memory

*Akin to Load Value Prediction*

# Illustration with LVI

```
char A[16] // public memory
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x = load idx
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leak(y)
```

## ProSpeCT

Developer annotates secret memory

Attacker injects  $x = 16$

$y = \text{secret} : H$

*Akin to Load Value Prediction*

# Illustration with LVI

```
char A[16] // public memory
char secret // secret memory
x = load idx
y = load A[x]
leak(y)
```

## ProSpeCT

Developer annotates secret memory

Attacker **injects**  $x = 16$

$y = \text{secret} : H$

secret is **not forwarded** to leak

*Akin to Load Value Prediction*



# Design Choices

## Software side

- Label secret memory
- Constant-time program
- Secret written to public memory is **declassified**



## Hardware side

- Track security labels
- Secrets do not speculatively flow to insecure instructions
- Predictions do not leak secrets

Code without secret **free speculation**  
Constant-time programs **only block mispredictions**



# Load Prediction: Rollback correct executions?

```
char secret // secret memory  
x = load secret  
y = x + 4
```

**Execution 1:** secret=**0**

**Execution 2:** secret=**1**

**Predict load  
value to 0**

```
x = 0 (?); y = 4
```

```
x = 0 (?); y = 4
```

# Load Prediction: Rollback correct executions?

```
char secret // secret memory
x = load secret
y = x + 4
```

**Execution 1:** secret=0

**Execution 2:** secret=1

**Predict load  
value to 0**

```
x = 0 (?); y = 4
```

```
x = 0 (?); y = 4
```

**Resolve**

```
x = 0; y = 4
```

```
x = 1
```

**Commit** if secret = 0

vs

**Rollback** if secret 0

*Implicit resolution-based channel*

# Load Prediction: Rollback correct executions?

```
char secret // secret memory
x = load secret
y = x + 4
```

**Execution 1:** secret=0

**Execution 2:** secret=1

**Predict load  
value to 0**

```
x = 0 (?); y = 4
```

```
x = 0 (?); y = 4
```

**Resolve**

```
x = 0:H
```

```
x = 1:H
```

**Solution:** Always rollback when actual value is secret

# Future Work?

## **Formal model**

- Cryptographic security down to the hardware?

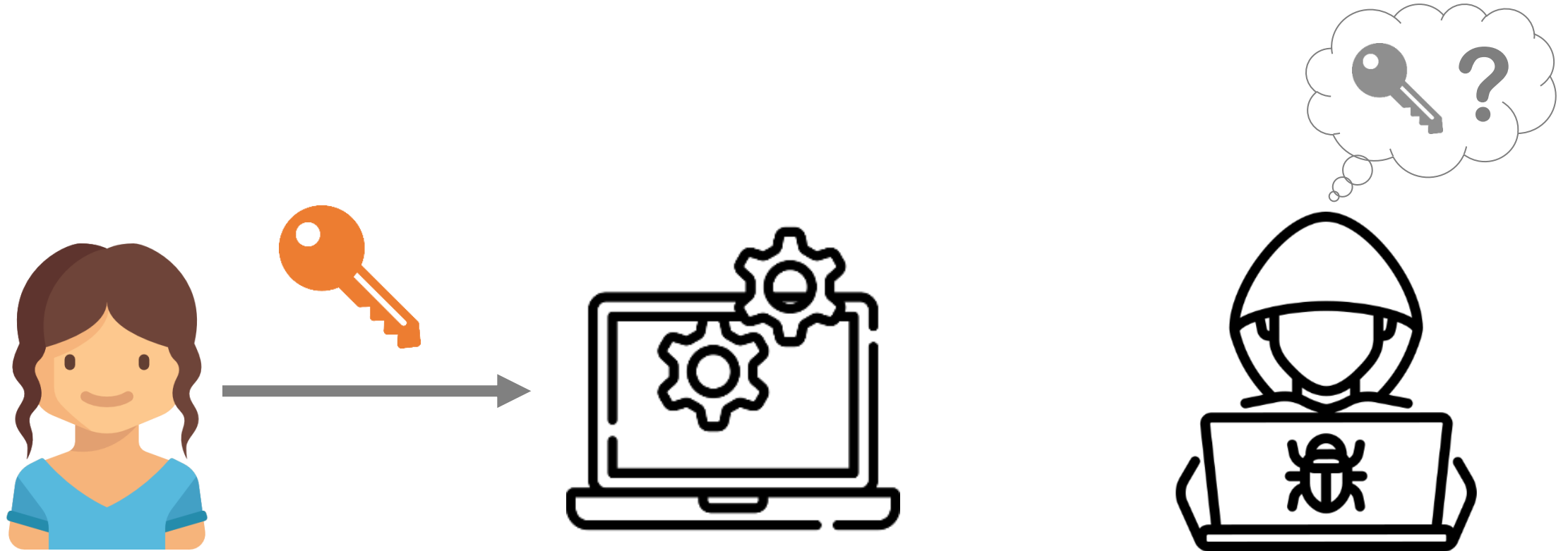
## **Compiler-support**

- Separate secret from public memory
- Ensure no unintentional declassification

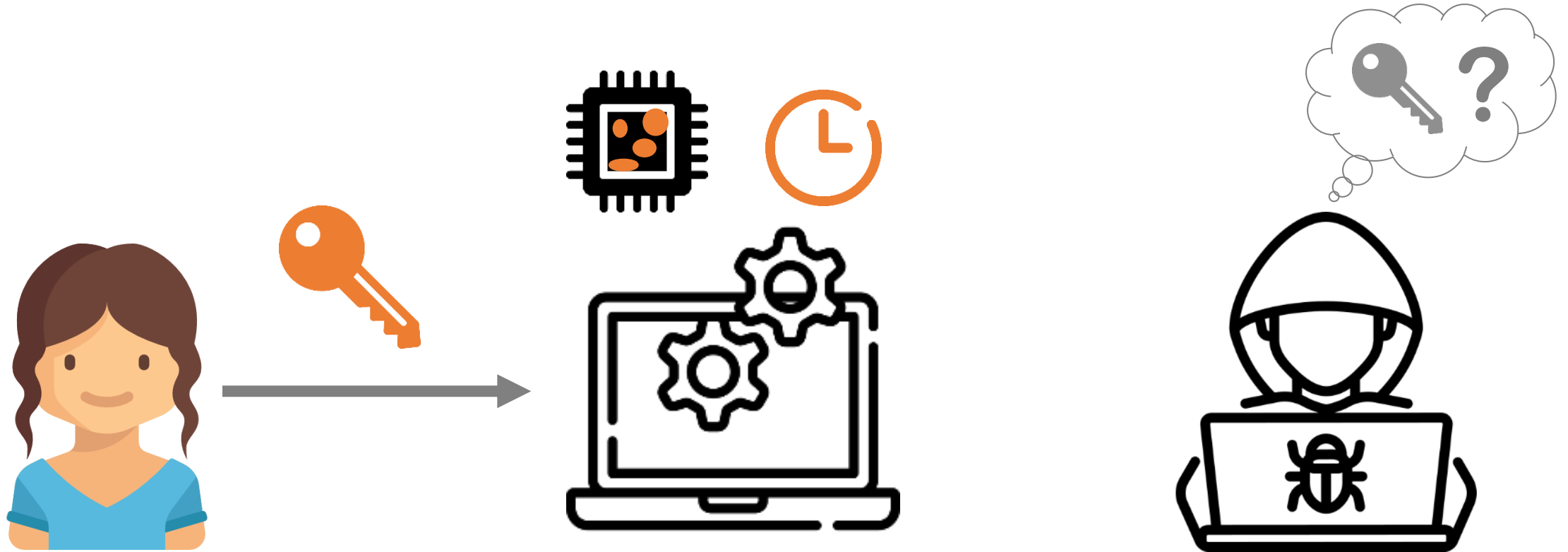
## **Validate RISC-V implementation**

- Contract-based CPU testing (e.g., Revizor, Scam-V)?
- Hardware-fuzzing / Model checking / Formal methods?

# I want to protect my secrets



# Computations have physical side effects



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